

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

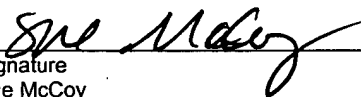
In re Application of: Michael E. Leckrone et al.

For: METHOD AND APPARATUS FOR PROVIDING INTRA-PERICARDIAL ACCESS

Serial No.: 10/606,909

Filed: June 26, 2003

CERTIFICATE OF MAILING UNDER 37 CFR 1.8: I hereby certify that this **INFORMATION DISCLOSURE STATEMENT** and the paper(s), as described herein, are being deposited in the U.S. Postal Service, as first class mail, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 23rd day of March, 2004.



Signature
Sue McCoy
Printed Name

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

We are transmitting herewith the attached:

- ☒ Information Disclosure Statement
- ☒ PTO FORM 1449
- ☒ Copies of the 1st pages of the cited references
- ☒ Return Postcard

FEE CALCULATION

- ☒ \$ 00.00 Pursuant to 37 CFR §1.97(b) (before mailing of first Office Action)
- ☐ \$ 00.00 Pursuant to 37 CFR §1.97(c) with Certification (cited in foreign application not more than 3 months earlier)
- ☐ \$ 00.00 Pursuant to 37 CFR §1.97(e) with Certification
- ☐ \$180.00 Pursuant to 37 CFR §1.97(c) without Certification
- ☐ \$180.00 Pursuant to 37 CFR §1.97(d) with Certification

- ☐ Applicant hereby petitions for a _____ months' extension of time. If an additional extension of time is required, please consider this petition therefor.
- ☒ Applicant believes that no extension of time is required. However, if an extension of time is required, please consider this a petition therefor to provide for the possibility that applicant has inadvertently overlooked the need for an extension of time.
- ☒ Please charge any additional fees or credits to Deposit Account No. 13-2546 which may have been overlooked with regard to this filing. A duplicate of this transmittal is enclosed.

Date

March 23, 2004

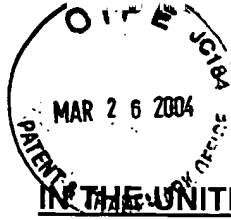


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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Michael E. Leckrone et al.)	Art Unit: 3763
)	
Serial No.: 10/606,909)	Examiner:
)	
Filed: June 26, 2003)	Docket: P-8030.03

For: METHOD AND APPARATUS FOR PROVIDING INTRA-PERICARDIAL ACCESS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with C.F.R. §§ 1.97 *et. seq.*, the materials enclosed herewith are brought to the attention of the Examiner as possibly being of interest in connection with the above-identified patent application.

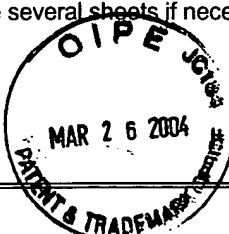
Consideration of each of the documents listed on the attached Form 1449 is respectfully requested. Pursuant to the provisions of M.P.E.P. §609, Applicant further requests that a copy of the Form 1449, marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

Respectfully submitted,

Date: March 23, 2004

By: Elisabeth L. Belden
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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.: P-8030.03	SERIAL NO: 10/606,909
(Rev. 2-32)		APPLICANT: Michael E. Leckrone et al.	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		FILING DATE: June 26, 2003	GROUP: 3763



U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE ISSUED	INVENTOR NAME	U.S. CLASS	U.S. SUB- CLASS	FILING DATE IF APPROPRIATE
	AA	6,375,668 B1	04/23/2002	Gifford, et al.			
	AB	6,203,526	03/20/2001	McBeth et al.	604	96.01	
	AC	6,066,149	05/23/2000	Samson, et al.			
	AD	5,928,260	07/27/1999	Chin, et al.			
	AE	5,827,216	10/27/1998	Igo, et al.			
	AF	5,800,451	09/01/1998	Buess et al.	606	185	
	AG	5,797,870	08/25/1998	March, et al.			
	AH	5,759,202	06/02/1998	Schroepel	607	126	
	AI	5,749,883	05/12/1998	Halpern			
	AJ	5,713,867	02/03/1998	Morris			
	AK	5,681,280	10/28/1997	Rusk, et al.			
	AL	5,678,572	10/21/1997	Shaw, et al.			
	AM	5,489,270	02/06/1996	Van Erp			
	AN	5,454,790	10/03/1995	Dubrul	604	104	
	AO	5,415,637	05/16/1995	Khosravi			
	AP	5,345,927	09/13/1994	Bonutti			
	AQ	5,344,439	09/06/1994	Otten	607	126	
	AR	5,336,252	08/09/1994	Cohen			
	AS	5,330,496	07/19/1994	Alferness			
	AT	5,269,326	12/14/1993	Verrier			
	AU	5,146,925	09/15/1992	Snow	128	658	
	AV	4,991,578	02/12/1991	Cohen			

EXAMINER

DATE CONSIDERED

EXAMINER:

Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.: P-8030.03	SERIAL NO: 10/606,909
(Rev. 2-32)		APPLICANT: Michael E. Leckrone et al.	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		FILING DATE: June 26, 2003	GROUP: 3763

	AW	4,946,457	08/07/1990	Elliott	606	1	
	AX	3,721,229	03/20/1973	Panzer	128	2A	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE PUBLICATION	COUNTRY	INT. CLASS	INT. SUB- CLASS	TRANSLATION	
							YES	NO
	AY	WO 97/42879	20.11.97	EP	A61B	17/00		

OTHER DOCUMENTS (Including Author, Title, Date Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	